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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

03945 US PTO
10/690264

- Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.
See 37 CFR 1.27.
- Specification [Total Pages 33]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (*if filed*)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 22]
- Oath or Declaration [Total Sheets 02]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

Attorney Docket No.	2102397-911401
First Inventor	Chih Hsin Wang
Title	Self Aligned Method Of Forming A Semiconductor Memory Array
Express Mail Label No.	EV 302278047 US

ADDRESS TO:	Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450
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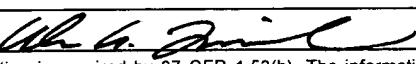
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Reader Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. Paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of Attorney
(when there is an assignee)
11. English Translation Document *(if applicable)*
12. Information Disclosure Statement (IDS)/PTO-1499 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: Postcard; Cert of Mailing; Preliminary Amendment; Check No. 546683

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP) of prior application No.: 09/916,555
Prior application information: Examiner Marcos D. Pizarro-Crespo Art Unit: 2814For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number:	26379		OR	<input type="checkbox"/> Correspondence address below	
Name	Alan A. Limbach				
Address	Gray Cary Ware & Freidenrich				
	2000 University Avenue				
City	East Palo Alto	State	CA	Zip Code	94303
Country	US	Telephone	650-833-2433	Fax	650-833-2001
Name (Print/Type)	ALAN A. LIMBACH		Registration No. (Attorney/Agent)	39,749	
Signature			Date October 20, 2003		

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

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18351
1202003
U.S. PTO

FEE TRANSMITTAL

for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$770.00)

Complete if Known

Application Number	Not yet assigned
Filing Date	October 20, 2003
First Named Inventor	Chih Hsin Wang
Examiner Name	Not yet assigned
Art Unit	Not yet assigned
Attorney Docket No.	2102397-911401

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Order Other None
 Deposit Account:

Deposit Account Number 07-1896

Deposit Account Name Gray Cary Ware & Freidenrich LLP

The Director is authorized to: (check all that apply)

- Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) or any underpayment of fee(s)
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee	Fee	Fee Description	Fee Paid
Fee Code	Fee Code	(\\$)	Fee Code	(\\$)	
1001	2001	770	385	Utility filing fee	770.00
1002	2002	340	170	Design filing fee	
1003	2003	530	265	Plant filing fee	
1004	2004	770	.385	Reissue filing fee	
1005	2005	160	80	Provisional filing fee	
SUBTOTAL (1)		(\$770.00)			

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
08	-20** = 0		X 18 =	0	0
02	- 3** = 0		X 86 =	0	0
				=	0

Large Entity		Small Entity	
Fee Code	Fee Code	(\\$)	Fee Description
1202	2202	18 .9	Claims in excess of 20
1201	2201	86 43	Independent claims in excess of 3
1203	2203	290 145	Multiple dependent claim, if not paid
1204	2204	86 43	** Reissue independent claims over original patent
1205	2205	18 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$0)	

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	(\\$)	
1051	2051	130 65	Surcharge - late filing fee or oath
1052	2052	50 25	Surcharge - late provisional filing fee or cover sheet
1053	1053	130	Non-English specification
1812	1812	2,520 2,520	For filing a request for ex parte reexamination
1804	1804	920* 920*	Requesting publication of SIR prior to Examiner action
1805	1805	1,840* 1,840*	Requesting publication of SIR after Examiner action
1251	2251	110 55	Extension for reply within first month
1252	2252	420 210	Extension for reply within second month
1253	2253	950 475	Extension for reply within third month
1254	2254	1,480 740	Extension for reply within fourth month
1255	2255	2,010 1,005	Extension for reply within fifth month
1401	2401	330 165	Notice of Appeal
1402	2402	330 165	Filing a brief in support of an appeal
1403	2403	290 145	Request for oral hearing
1451	1451	1,510 1,510	Petition to institute a public use proceeding
1452	2452	110 55	Petition to revive - unavoidable
1453	2453	1,330 665	Petition to revive - unintentional
1501	2501	1,330 665	Utility issue fee (or reissue)
1502	2502	480 240	Design issue fee
1503	2503	640 320	Plant issue fee
1460	1460	130	Petitions to the Commissioner
1807	1807	50 50	Processing fee under 37 CFR 1.17(q)
1806	1806	180 180	Submission of Information Disclosure Stmt
8021	8021	40	Recording each patent assignment per property (times number of properties)
1809	2809	770 385	Filing a submission after final rejection (37 CFR 1.129(a))
1810	2810	770 385	For each additional invention to be examined (37 CFR 1.129(b))
1801	2801	770 385	Request for Continued Examination (RCE)
1802	1802	900 900	Request for expedited examination of a design application
Other fee (specify)			
*Reduced by Basic Filing Fee Paid		SUBTOTAL (3) (\$)	

(Complete if applicable)

Name (Print/Type)	Alan A. Limbach	Registration No. (Attorney/Agent)	39,749	Telephone 650-833-2433
Signature				
Date	October 20, 2003			

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)				Docket No.
Applicants: Wang et al.				2102397-911401
Serial No. Not yet assigned	Filing Date October 20, 2003	Examiner Not yet assigned	Group Art Unit Not yet assigned	
Invention:				
SELF ALIGNED METHOD OF FORMING A SEMICONDUCTOR MEMORY ARRAY OF FLOATING GATE MEMORY CELLS WITH LOW RESISTANCE SOURCE REGIONS AND HIGH SOURCE OF COUPLING, AND A MEMORY ARRAY MADE THEREBY				
<p>I hereby certify that this <u>Utility Patent Application (Continuation)</u> which includes <u>Utility Patent Application Transmittal, Fee Transmittal, Preliminary Amendment, Specification (33 pages), 22 sheets of Informal Drawings, Declaration, Check, Information Disclosure Statement with European reference, and Return Post Card</u> <small>(Identify type of correspondence)</small></p>				
<p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, P.O. Box 1450, Alexandria, VA 22313, Mail Stop Patent Application on October 20, 2003.</p>				
<u>Susan Pingue</u> <small>(Typed or Printed Name of Person Mailing Correspondence)</small>				
 <small>(Signature of Person Mailing Correspondence)</small>				
<u>EV 302278047 US</u> <small>("Express Mail" Mailing Label Number)</small>				